

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### "ELECTRONIC OR ELECTRICAL COMPONENT WITH A PLASTIC-PASSIVATED SURFACE AND METHOD OF PRODUCTION THEREOF"

Case No. P99,0663, the specification of which

(check  
one)

X

is attached hereto.

was filed on April 21, 1999, as  
Application Serial No. 09/295,917  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent Office all information which is known to me to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).<sup>1</sup>

I do not know and do not believe this invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and I believe that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as identified below:

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below

Prior Foreign Application(s)

Number

19818036.5

Country

Fed.Rep. of Germany

Date

April 22, 1998

<sup>1</sup> (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A *prima facie* case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

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and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the above listed application on which priority is claimed:

Prior Foreign Application(s)

Number

Country

Date

If no priority is claimed, I have identified all foreign patent applications filed prior to this application:

Prior Foreign Application(s)

Number

Country

Date

And I hereby appoint Messrs. John D. Simpson (Registration No. 19,842), Dennis A. Gross (24,410), Robert M. Barrett (30,142), Steven H. Noll (28,982), Kevin W. Guynn (29,927), Robert M. Ward (26,517), Brett A. Valiquet (27,841), Edward A. Lehman (22,312), David R. Metzger (32,919), Todd S. Parkhurst (26,494), James D. Hobart (24,149), Melvin A. Robinson (31,870), John R. Garrett (27,888), Paula J. Kelly (37,624), John W. Cornell (30,619), Robert J. Depke (37,607), Joseph P. Reagen (35,332), Michael R. Hull (35,902), Michael S. Leonard (37,557), William E. Vaughan (39,056), Lewis T. Steadman (17,074) and Marvin Moody (16,549) all members of the firm of Hill & Simpson, A Professional Corporation

Telephone: 312/876-0200

my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and direct that all correspondence be forwarded to:

Hill & Simpson

A Professional Corporation

85th Floor Sears Tower, Chicago, Illinois 60606

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor CARSTEN SCHUH

Inventor's signature *Carsten Schuh* Date May 17, 1999  
Residence Baldham, Germany  
Citizenship Germany  
Post Office Address Brunnenstr. 73  
85598 Baldham, Germany

Full name of second inventor WILHELM HEKELE

Inventor's signature *Wilhelm Hekele* Date May 17, 1999  
Residence Eggstaett, Germany  
Citizenship Germany  
Post Office Address Gartenstr. 2  
93125 Eggstaett, Germany

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## ASSIGNMENT

FOR a valuable consideration, the undersigned,

residing at **CARSTEN SCHUH**  
Brunnenstr. 73  
85598 Baldham  
Germany

**WILHELM HEKELE**  
Gartenstr. 2  
83125 Eggstaett  
Germany

hereby sells, assigns, and transfers unto **Siemens Aktiengesellschaft**, a company organized and existing under and by virtue the laws of the Country of Germany, and having its principle place of business at Wittelsbacherplatz 2, 80333 Muenchen, Germany, the whole right, title and interest in and to a certain invention or improvement in

### **"ELECTRONIC OR ELECTRICAL COMPONENT WITH A PLASTIC-PASSIVATED SURFACE AND METHOD OF PRODUCTION THEREOF"**

disclosed in an application for Letters Patent of the United States, prepared by the firm of Hill & Simpson, A Professional Corporation of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. **P99,0663**, and in our own records as Case No. **GR98P1559US**, and in the records of the U.S. Patent and Trademark Offices and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all rights and privileges under the Letters Patent that may be granted therefor, including the right to claim the benefit of an earlier filing date for the same invention in a foreign country.

We hereby authorize and request the Commissioner of Patents to issue the Letters Patent that may be granted for said invention or improvements to said **Siemens Aktiengesellschaft**.

For the same consideration, we hereby agree that we will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and will cooperate at any time upon request of said assignee or its assigns, at its expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new divisional, continuing and reissue applications; will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

DATE: May 17, 1999

Carsten L. Schuh  
Carsten Schuh

DATE: May 17, 1999

Wilhelm Hekele  
Wilhelm Hekele

WITNESSES:

Winfried Schmuck  
Winfried Schmuck

1000756-110101



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 06, 1999

PTAS



\*101057263A\*

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**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SCHUH, CARSTEN

DOC DATE: 05/17/1999

ASSIGNOR:  
HEKELE, WILHELM

DOC DATE: 05/17/1999

ASSIGNEE:  
SIEMENS AKTIENGESELLSCHAFT  
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SERIAL NUMBER: 09295917  
PATENT NUMBER:

FILING DATE: 04/21/1999  
ISSUE DATE:

SEDLEY PYNE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

06-09-1999



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# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

1/31/92

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Carsten Schuh  
Wilhelm Hekele

6-7-99

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Siemens Aktiengesellschaft

Internal Address: \_\_\_\_\_

Street Address: Wittelsbacherplatz 280333 Muenchen, Germany

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional Name(s) & Address(es) attached ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date May 17, 1999

## 4. Application (number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B: Patent No.(s)

P99,0663  
09/295,917

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Hill &amp; Simpson, P.C.

85th Floor Sears Tower  
233 S. Wacker Drive  
Chicago, IL 60606

## 6. Total number of applications and patents involved

17. Total Fee (37 CFR 3.41) ..... \$ 40.00☒ Enclosed
☒ Authorized to be charged to deposit account any  
Additional fees

## 8. Deposit Account Number:

08-2290

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael R. Hull (35,902)

Name of Person Signing

June 7, 1999

Date

Total number of pages including cover sheet: 2

Mail documents to be recorded and required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

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